

<b>Audit &amp; Assurance - Draft Audit Proposals 2022/23</b>	<b>Classification</b>	<b>Priority</b>	<b>22/23 Days</b>
<b>Adult &amp; Prevention</b>			
Safeguarding the most vulnerable	Control	2	10
Adults Contracts and Commissioning incl Private Care Home Contract Payments	Control	2	15
Transitional Arrangements : Children to Adult Care	Control/Risk	2	5
Client case management systems including Access Controls	Risk	2	10
CCTV Provision	Control	2	10
Reablement Service	Control	3	10
<b>Sub total</b>			<b>60</b>
<b>Children's Services &amp; Education</b>			
Local Authority Improvement Plan	Risk	1	10
Transitional Arrangements : Children to Adult Care	Control	2	5
Demand for specialist placements	Risk	2	10
Protocol ICS System	Control	2	10
Safeguarding/ Serious incident/death of a child known to Children's Social Care. Safeguarding Board	Risk	2	10
Commissioning Panel Arrangements - Identification and collection of Health contributions	Control	2	10
Failure to meet the requirements of the Children & Families Act in relation to SEND	Risk	2	10
Youth Justice Mgmt Board	Governance	2	10
Children's Centres	Control	3	10
Audits of Schools Finance systems	Control	3	60
<b>Sub total</b>			<b>145</b>
<b>Public Health &amp; Wellbeing</b>			
Internal Public Health Spend/Social Determinants of Health Fund - Governance	Governance	2	10
Contract Monitoring (PH Contracts commissioned via CAPS), Failure to manage procurement & commissioning activity related to PH	Control	2	10
Failure to mobilise 0-19 Healthy Child Programme Services	Consultancy	A	4
<b>Sub total</b>			<b>24</b>
<b>Digital &amp; Business Change</b>			
Implementation of MIAA Audit Findings	Control	1	10
Failure to prevent data loss (Information Governance)/ Compliance with GDPR	Risk	2	10
Software licencing	Control	2	10
Business Continuity/Disaster Recovery	Risk	2	10
Digital Customer Portal.	Risk	2	10
Performance Indicators/Data Quality	Control/Governance	3	10
<b>Sub total</b>			<b>60</b>
<b>Growth &amp; Development</b>			
Environment Strategy/ Climate Change Strategy/Failure to reduce Carbon Emissions/Carbon Management/Renewable Energy	Risk	2	10
Town Fund (Darwen £25m plus BwD and others) and Other Grant Funding Projects	Governance	2	10
Asset Management System - Corporate and Commercial Portfolio Landlord /tenants Responsibilities	Control	2	10
<b>Sub total</b>			<b>30</b>
<b>Environment &amp; Operations</b>			
Local Transport Capital Funding/LTP Grant Certification Requirement	Control	1	5
Bus Subsidy Grant	Control	1	5
Failure to adequately inspect and maintain highways. Deterioration of the highways network in particular road surfaces. Failure to repair highways defects and street lighting faults on time	Risk	2	10
Poor standard of privately run Homes of Multiple Occupancy	Risk	2	15
Fleet Management	Risk	3	10
Fleet Procurement	Control	3	10
Property Services - Services to Schools	Control	3	10
Property Services - Use of Design Consultants	Control	3	10
Museums Collections Recording System	Control	3	10
<b>Sub total</b>			<b>85</b>
<b>HR &amp; Engagement</b>			

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Payroll - Core system	Control	1	15
iTrent Implementation	Control	1	5
Health & Safety - Failure to comply with H&S legislation & Council standards	Risk	2	10
Service to schools	Control	2	10
<b>Sub total</b>			<b>40</b>
<b>Legal &amp; Governance</b>			
RIPA processes	Control	2	10
Information Sharing Protocols and arrangements with Police	Risk	2	10
Members Allowances and Induction	Control	3	10
Legal Case Management	Control	3	10
<b>Sub total</b>			<b>40</b>
<b>Finance &amp; Customer Services</b>			
Budgetary Setting and MTFS	Control	1	10
Main Accounting System - including account reconciliation's			
Control and suspense account reconciliation processes	Control	1	6
Council Tax/ C Tax Support Scheme/shortfall in C Tax income	Control	2	15
Council Tax Rebate - Support with energy costs	Control	2	10
NNDR	Control	2	15
Housing Benefits	Control	2	15
New CIPFA Financial Mgmt Code and VFM Assessments	Risk	2	10
Capital Programme/Budget - Monitoring and Reporting	Control	2	10
Treasury/Cash flow management/Major loss incurred regarding investment and/or borrowing.	Control	3	10
Civica Asset management module	Control	3	10
Use/management, monitoring and reporting of Covid 19 Grant Funding received	Control	1	15
Value for money audit	Consultancy	A*	20
<b>Sub total</b>			<b>146</b>
<b>Other Audit Work</b>			
Review of Financial Regulations, SFIs, etc	Governance	2	3
2021/22 Work in progress	Governance	1	40
Follow up work	Governance	1	10
Audit Committee	Governance	1	10
Liaison with external audit	Other	1	2
Audit Committee Annual Report/Evaluation	Governance	1	4
HoIA Annual Report	Governance	1	4
A & A Client liaison/Queries	Other	2	10
A & A Client liaison/DMT attendance	Other	2	2
A & A Client liaison/Project Groups	Other	2	4
Contingency		2	15
<b>Sub total</b>			<b>104</b>
<b>Other Risk &amp; Governance Work</b>			
Annual Gov Statement	Governance	1	10
MAF and MAF Challenges	Governance	1	10
Risk Management Support	Risk	1	5
Road Risk Mgmt Group	Risk	1	4
Review/Monitor Corporate Risks	Risk	1	5
Review Monitor Departmental Risks	Risk	1	8
Business Continuity Champions Meetings	Risk	1	2
Risk Annual Plan/Report	Governance	2	4
<b>Sub total</b>			<b>48</b>
<b>Other Fraud Work</b>			
National Fraud Initiative (NFI)	Control	1	10
Review of Counter Fraud Strategy	Control	1	3
Counter Fraud Annual Plan/Report	Control	1	3
Proactive Fraud Testing	Governance	2	10
Reactive investigations	Governance	2	15
Review/Monitor Fraud Risk Register	Control	2	4
Fraud awareness and whistle blowing initiatives	Control	2	2
<b>Sub total</b>			<b>47</b>
<b>Total Planned Audit Days 2022/23</b>			<b>829</b>