

Audit & Assurance - Draft Audit Proposals 2023/24	Classification	Priority	2023/24 Days
Adult & Prevention			
Client case management systems including Access Controls	Control	2	10
Homelessness/Housing Needs	Control	3	10
Social care charging and recovery	Control	2	15
Use of volunteers	Risk	3	10
Disabled Facilities Grant	Control	2	10
Sub total			55
Children's Services & Education			
Protocol ICS System	Control	1	10
Quality Assurance Arrangements	Control	1	10
Adoptions	Control	2	10
Commissioning Panel Arrangements - Identification and collection of Health contributions	Control	2	10
Demand for specialist placements	Control	2	10
Audits of Schools Finance systems	Control	3	80
Sub total			130
Public Health & Wellbeing			
Internal Public Health Spend/Social Determinants of Health Fund - Governance	Governance	2	10
Sub total			10
Growth & Development			
Town Fund (Darwen £25m plus BwD and others) and Other Grant Funding Projects	Governance	2	10
Other Grant Funding	Governance	2	10
S106 Arrangements	Control	2	10
Building Control	Control	3	10
Asset Management System - Corporate and Commercial Portfolio Landlord /tenants Responsibilities	Control	2	10
Sub total			50
Environment & Operations			
Local Transport Capital Funding/LTP Grant Certification Requirement	Control	1	5
Bus Subsidy Grant	Control	1	5
Failure to adequately inspect and maintain highways. Deterioration of the highways network in particular road surfaces. Failure to repair highways defects and street lighting faults on time	Risk	2	15
Leisure Centres	Risk	3	15
Selective Licensing	Control	3	10
Contract management	Control	2	15
Museum collection management arrangements	Control	3	10
Waste Services	Control	2	10
Sub total			85
Chief Executives			
Payroll - Core system	Control	1	15
Partnership arrangements	Control	2	10
Sickness absence management	Control	3	10
Mileage & expenses	Control	3	15
Overtime /Additional Hours	Control	2	15
Equality Act	Control	2	10
Sub total			75
Legal & Governance			
Failure to prevent data loss (Information Governance)/ Compliance with GDPR	Risk	2	10
Information Sharing Protocols and arrangements with Police	Risk	2	10
Departmental Governance	Risk/Control	2	10
Elections	Control	3	10
Sub total			40
Finance			
Main Accounting System - including account reconciliation's Control and suspense account reconciliation processes	Control	1	8
Council Tax/ C Tax Support Scheme/shortfall in C Tax income	Control	2	15
Creditors	Control	2	10
Procurement/Contract management	Control	2	15
Sundry Debtors/Income collection	Control	2	10
NNDR	Control	2	15
Housing Benefits	Control	2	15

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Capital Programme/Budget - Monitoring and Reporting	Control	2	10
Treasury/Cash flow management/Major loss incurred regarding investment and/or borrowing.	Control	3	10
Civica Asset management module	Control	3	10
Use of consultants	Control	2	15
Value for money audit	Consultancy	A*	20
Implementation of MIAA Audit Findings	Control	1	10
Cyber Security	Risk	1	10
Change Control	Control	2	10
Sub total			183
Other Audit Work			
Review of Financial Regulations, SFIs, etc	Governance	2	3
2022/23 Work in progress	Governance	1	40
Follow up work	Governance	1	10
Audit Committee	Governance	1	10
Liaison with external audit	Other	1	2
Audit Committee Annual Report/Evaluation	Governance	1	4
HolA Annual Report	Governance	1	4
A & A Client liaison/Queries	Other	2	10
A & A Client liaison/DMT attendance	Other	2	2
A & A Client liaison/Project Groups	Other	2	10
Contingency		2	15
Sub total			110
Other Risk & Governance Work			
Annual Gov Statement	Governance	1	12
MAF and MAF Challenges	Governance	1	12
Risk Management Development	Risk	1	10
Risk Management Support	Risk	1	5
Road Risk Mgmt Group	Risk	1	4
Review/Monitor Corporate Risks	Risk	1	5
Review Monitor Departmental Risks	Risk	1	8
Business Continuity Champions Meetings	Risk	1	2
Risk Annual Plan/Report	Governance	2	4
Sub total			62
Other Fraud Work			
National Fraud Initiative (NFI)	Control	1	15
Counter Fraud Annual Plan/Report	Control	1	3
Proactive Fraud Testing	Governance	2	10
Reactive investigations	Governance	2	15
Review/Monitor Fraud Risk Register	Control	2	3
Sub total			46
Total Planned Audit Days 2023/24			846