



TO: Audit & Governance Committee

FROM: Head of Audit & Assurance

DATE: 16 April 2019

PORTFOLIOS AFFECTED: All

WARDS AFFECTED: All

TITLE OF REPORT: Risk Management – 2018/19 Quarter 3 Review

1. PURPOSE

To provide the Committee with details of the risk management activity that has taken place in the period from 1 October 2018 to 31 December 2018.

2. RECOMMENDATIONS

The Committee is asked to:

- Discuss, review and challenge the progress made on the Corporate Risk Register as at the end of Quarter 3 2018/19; and
- Note the risk management activity that has occurred during the period.

3. BACKGROUND

The Council recognises that risk management is not simply a compliance issue, but rather it is a process to help ensure the successful delivery of the Council's Corporate Plan priorities and objectives. Effective risk management arrangements should be embedded in the Council's culture and decision making processes as well as being an inherent part of the operational and financial management arrangements operating within the Council. Risk management helps to demonstrate openness, integrity and accountability in all of the Council's activities.

4. RATIONALE

The Audit & Governance Committee terms of reference require it to review progress on risk management at least annually and to promote risk management throughout the Council. The Corporate Risk Management Strategy & Framework requires that the Audit & Governance Committee will receive regular reports setting out progress against corporate risk management action plans. This report satisfies both these requirements.

5. KEY ISSUES AND RISKS

The Corporate Risk Register contained a total of 14 open risks at 31 December 2018. A summary of the corporate risk details is attached at Appendix 1 of this report. There has not been any change in the residual risk score assessed for any of the risks identified since the previous quarter.

Corporate Risk 14, that of a high profile serious/critical safeguarding incident/case occurring that is known to Council services, remains the top corporate risk as noted in previous report to the January meeting of this Committee.

As part of the Council's Risk Management process we review and monitor the risks on a regular basis to ensure that we have appropriate, properly assessed corporate risks identified going forward. Management Board review the details recorded as part of the Management Accountability Framework reporting arrangements, as well as the on-going review and update of the risks by the designated risk owners and key contacts

The Road Risk Management Group continues to meet regularly to consider the risk management arrangements in place for the Council's motor fleet and drivers and staff use of private vehicles for Council business. The Group also reviews a range of management reports to identify and monitor themes and trends in fleet driving behaviour and insurance claims to consider any training needs. We have also continued to liaise with colleagues across the Council to identify areas to make use the risk management support that is available from Zurich Municipal as part of the current long term insurance agreement during the year. This can be used to provide additional support to managers and senior officers regarding specific risk management arrangements and training or to carry out strategic or operation risk management reviews.

6. POLICY IMPLICATIONS

There are no policy implications arising from this report.

7. FINANCIAL IMPLICATIONS

There are no financial implications arising from this report.

8. LEGAL IMPLICATIONS

There are no legal implications arising from this report.

9. RESOURCE IMPLICATIONS

There are no direct resource implications arising from this report.

10. EQUALITY AND HEALTH IMPLICATION

There are no equality or health implications arising from this report.

11. CONSULTATIONS

The Corporate Risk Register has been reviewed by Risk Owners and Key Contacts and agreed by Management Board.

Contact Officer: Colin Ferguson Head of Audit & Assurance – Ext: 5326
Date: 4 April 2019
Background Papers: Corporate Risk Management Strategy 2015/2020,
2017/18 Annual Risk Management Report (including
Quarter 4 Review) and Risk Management 2018/19
Quarter 2 Review